

B. NON PRO CONDITIONS

(1) A Non Pro shall be defined as a person who at the time of Non Pro application has not won in excess of \$100,000 (one hundred thousand dollars) in Category 1, 2, and 6 open reining competition. A Non Pro shall also be defined as a person who has not directly (money) or indirectly (goods or services) received remuneration for showing, training, or assisting in the training of any horse ridden astride, regardless of discipline or breed – this does not include prize money. A Non Pro shall be further defined as a person who has not given lessons for remuneration. An exception exists with respect to a Non Pro applicant that is certified as an instructor in national equine equitherapy as exemplified by the North American Riding for the Handicapped Association (NARHA). The excepted instructor shall only teach students enrolled with an approved organization or students prescribed such rehabilitation by a licensed medical doctor. A Non Pro applicant excepted under this provision must have disclosed this information on the Non Pro Declaration that is submitted to the NRHA office. Payment of entry fees and/or expenses by anyone other than the Non Pro, his/her immediate family, or a corporation, partnership or other business entity in which the Non Pro and/or a member of his/her immediate family are the sole and only owner, shall be considered remuneration except for demonstrations, exhibitions, or international competitions where the Non Pro is representing their National Federation, or competition where the Non Pro is representing their college or university as an individual or part of a team. A horse shown in Non Pro competition must be solely and completely owned by one of the following: (a) the Non Pro, (b) a member or members of his/her immediate family, or (c) a corporation, partnership, or other business entity provided that the Non Pro and/or a member or members of his/her immediate family are the sole and only owners of that business entity and the ownership of that business entity does not change to include non immediate family members or entities. Ownership will be evidenced by the competition license.

(2) NRHA has adopted the following definition of immediate family: husband, wife, parent, step-parent, legal guardian, child, step-child, brother, sister, half brother, half sister, aunt, uncle, niece, nephew, grandmother, grandfather and in-laws of the same relation as stated above. It is the responsibility of the Non Pro to file an Immediate Family Form with the NRHA prior to showing an immediate family member's horse. The Non Pro is also responsible for updating that form with any changes. If there is not an Immediate Family Form on file with the NRHA office, a Non Pro may be forfeited out of any NRHA Non Pro classes in which they have shown.

(3) Any transaction relating to the sale or transfer of a horse to a Non Pro other than by immediate family members must be at fair market value. The Non Pro is responsible for documenting said transaction in a satisfactory fashion in the event of any protest. If a Non Pro and/or his/her immediate family member sells a horse and then buys it back, the Non Pro and/or his/her immediate family member cannot show that horse in Non Pro classes for 180 days from the time he/she originally sold the horse.

(4) The Executive Board shall have the right to review in detail any transaction regarding the purchase or sale of a horse by the holder of an NRHA Non Pro card if in their judgment there was intent to misuse the rules of the Association. At the Executive Board's discretion, there shall be a review if a horse is repurchased or resold. Included in the review shall be methods of procurement, all transfer records, cancelled checks, and deposit slips if so directed by the Executive Board. The procurement of mortality insurance and a receipt of payment to a third party is an acceptable proof of sale. The original owner's knowledge of the sale is not necessary for proof of sale involving an agent. All parties to a transaction in violation of these NRHA rules are subject to disciplinary action.

(5) Procedure for those applying for Non Pro status:

(a) An applicant must complete the official NRHA Non Pro Declaration, and submit it to the NRHA office with the appropriate fee.

(b) Persons applying for Non Pro status must answer all questions on the Non Pro Declaration, agree to abide by the Non Pro Code of Conduct and Ethics, and sign the Non Pro Declaration affirming the truth of all statements given as well as acceptance of the rules and regulations relating to NRHA Non Pro membership.

(c) A Non Pro card is a privilege and not a right. The Non Pro Committee will screen all applicants. The Non Pro Committee can require at any time a Non Pro to submit his/her card for review of applicability. The Non Pro Committee can refuse a Non Pro card to anyone deemed not in compliance with the eligibility criteria set forth in the NRHA Handbook.

(d) An applicant must complete a Non Pro Declaration prior to entering a Non Pro class. Non Pro status will be granted on a temporary basis and is subject to revocation pending publication in the NRHA *Reiner* and approval by the Non Pro Committee. Non Pro status will be null and void and any Non Pro earnings and prizes will be forfeited if it is determined that the applicant is not eligible under NRHA Non Pro conditions, as defined in the NRHA Handbook. Failure to comply with the application requirements as specified in this Handbook may result in disciplinary action.

(e) Denial of Non Pro status may be appealed to the Executive Board.

(f) A professional (excluding members in the Apprentice Program) desiring to obtain Non Pro status may do so after meeting all Non Pro conditions for a period of time equal to the number of years they have not met the

Non Pro requirements with a minimum of three (3) years and a maximum of five (5) years. The NRHA office may then be notified and the official Non Pro Declaration and fees may be submitted for approval or disapproval by the Board of Directors or their designate. The applicant will be notified of the approval or disapproval by the NRHA office and shall not show in NRHA Non Pro competition until notified.

(g) A professional that attains Non Pro status after fulfilling stated criteria, shall be eligible for any classes or divisions based on their current eligibility.

(6) Should a member wish to protest the eligibility of a Non Pro, he may file a protest with the NRHA office in accordance with the procedures set forth in the disciplinary procedure section. The protest must be signed by a current member in good standing. In protests regarding the eligibility of a Non Pro, the 15-day rule for filing such protests is not applicable. In these types of protests, he or she must file within the current or subsequent year of the actual incident. Upon receipt of a validly filed protest, the Non Pro Committee may choose to request the individual in question to voluntarily return his or her Non Pro card. If the individual chooses not to surrender his or her card, the committee shall send the issue to the Investigation Review Committee established for Non Pros.

(7) Penalty—Should the Executive Board determine a Non Pro has made a false declaration, the Non Pro will forfeit all Non Pro winnings earned during the current year, and be subject to disciplinary procedures. When a horse/rider is found to be ineligible, the earnings and awards will be forfeited to the NRHA. Upon receipt of the earnings, NRHA will redirect the forfeited earnings to the show committee to be redistributed. NRHA will make corrections to the class placing and earnings in the database. It is the responsibility of the ineligible exhibitor to return all forfeited earnings to the NRHA and all prizes and awards to the show secretary.

(8) Renewing Non Pro status: If a Non Pro membership has lapsed for more than one calendar year, the applicant will be required to complete the NRHA Non Pro Declaration and submit it to the NRHA office with the appropriate fee.

(9) Relinquishing Non Pro Status: A Non Pro that gives up his/her Non Pro status, and becomes a professional must notify the NRHA office in writing within thirty (30) days of the change. Non Pro earnings in any category/class will not be utilized in determining current eligibility, with the exception of the Rookie Professional class (see definition of Rookie Professional in D. Categories of Competition and Class Eligibility, Category 1).

(10) Non Pro Apprentice Exemption: Apprentice status must be granted prior to an applicant apprenticing with an NRHA Professional. Applicants must allow ninety (90) days minimum for processing applications. Individuals that begin Apprenticeship prior to Apprentice status being granted are in violation of the Non Pro conditions and their Non Pro status will be in jeopardy. Failure to comply with the application requirements as specified in this Handbook may result in disciplinary action.

(a) A Non Pro may participate in the Apprentice Program only once. The Non Pro must complete an Apprentice Program Application.

(b) An Apprentice Program applicant may be subject to a personal interview with the Non Pro Committee.

(c) Apprentice status must be granted by the NRHA Board of Directors following the recommendation of the Non Pro Committee.

(d) The applicant must apprentice with a member of the NRHA Professionals and physically work at that Professional's facility.

(e) All NRHA previous Non Pro as well as Open earnings will be used to determine the Apprentice's class eligibility. The Apprentice can only show in Open division classes during the apprenticeship period.

(f) All applicants must be at least 18 years of age.

(g) There is no earnings limit.

(h) Apprenticeship is a 12 month consecutive period. At the end of that time, the apprentice may remain an open rider. In this case, previous Non Pro earnings will be backed out for eligibility purposes. If the Apprentice does not remain an Open rider, the Apprentice must then reapply for Non Pro status and is subject to the Non Pro conditions. Any monies won during the 12 consecutive month Apprenticeship shall be applied to their Non Pro eligibility.